

Docket No. 0039-7292-2 DIV

IN RE APPLICATION OF: KAORI UMEZAWA, ET AL.

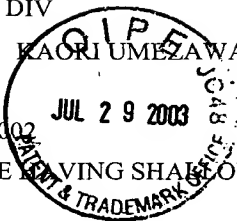
SERIAL NO: 09/358,388

RCE FILED: APRIL 18, 2002

FOR: SUBSTRATE HAVING SHALLOW TRENCH ISOLATION AND METHOD OF MANUFACTURING THE SAME

61
"RESPONSE UNDER 37 CFR 1.116-
EXPEDITED PROCEDURE EXAMINING
GROUP 2814"

AF 12800
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COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

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SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: NOTICE OF APPEAL, PETITION FOR EXTENSION OF TIME (3 MONTHS), LETTER SUBMITTING DRAWING SHEETS WITH 3 DRAWING REPLACEMENTS

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	34	MINUS	43	0	× \$18 =	\$0.00
INDEPENDENT	7	MINUS	9	0	× \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

- ☒ A check in the amount of **\$1,250.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.



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"RESPONSE UNDER 37 CFR 1.116-
EXPEDITED PROCEDURE EXAMINING
GROUP 2814"

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :
KAORI UMEZAWA ET AL. : GROUP ART UNIT: 2814
SERIAL NO: 09/358,388 :
RCE FILED: APRIL 18, 2002 : EXAMINER: MAI, A.
FOR: SUBSTRATE HAVING SHALLOW :
TRENCH ISOLATION AND METHOD
OF MANUFACTURING THE SAME

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AMENDMENT UNDER 37 CFR §1.116

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

In response to the Office Action of January 29, 2003, please amend the above- :

identified application as follows:

Amendments to the Title begin on page 2 of this paper.

Amendments to the Specification begin 3 on page of this paper

Amendments to the Claims begin on page 4 of this paper.

Amendments to the Drawings begin on page 15 of this paper.

Remarks/Arguments begin on page 16 of this paper.